

KHSAA RULES AND REGULATIONS Governing Baseball Tournaments

(As Adopted by the Board of Control)

(Case situations refer to rulings and interpretations used to administer these provisions which can be found in the next section of the Handbook)

I) Assignment of Schools to Districts and Regions

The Kentucky High School Athletic Association shall sponsor postseason competition in baseball for boys, provided that ten (10) percent of the member schools declare intentions to participate in said competition. Member schools desiring to participate in KHSAA sponsored postseason competition shall notify the Association office prior to March 1. The Commissioner shall divide the state into geographic sections with the approval of the Board of Control. The alignment shall begin with the 2005-2006 school year, and shall be reviewed upon the request of a member school with a request concerning that particular school on a biennial basis (every two years). The entire alignment shall be reviewed with changes to be in effect for the 2009-2010 school year and every four years thereafter. In the interim, newly added or deleted programs will be handled on a case by case basis by the Commissioner's office

As of August 15, 2011, the alignment in baseball is as follows —

REGION 1

District 1 - Carlisle County, Fulton City, Fulton County, Hickman County

District 2 - Heath, Lone Oak, Paducah Tilghman, Reidland

District 3 - Ballard Memorial, Graves County, Mayfield, St. Mary

District 4 - Calloway County, Christian Fellowship, Community Christian (Paducah), Marshall County, Murray

REGION 2

District 5 - Crittenden County, Livingston Central, Lyon County, Trigg County

District 6 - Henderson County, Union County, Webster County

District 7 - Caldwell County, Dawson Springs, Hopkins County Central, Madisonville-North Hopkins

District 8 - Christian County, Fort Campbell, Hopkinsville, University Heights

REGION 3

District 9 - Apollo, Daviess County, Owensboro, Owensboro Catholic

District 10 - McLean County, Muhlenberg County, Ohio County

District 11 - Breckinridge County, Frederick Fraize, Hancock County, Meade County

District 12 - Butler County, Edmonson County, Grayson County, Trinity (Whitesville)

REGION 4

District 13 - Franklin-Simpson, Logan County, Russellville, Todd County Central

District 14 - Bowling Green, Greenwood, South Warren, Warren Central, Warren East

District 15 - Allen County-Scottsville, Barren County, Glasgow, Monroe County

District 16 - Clinton County, Cumberland County, Metcalfe County, Russell County

REGION 5

District 17 - Central Hardin, Elizabethtown, Fort Knox, John Hardin, North Hardin

District 18 - Caverna, Green County, Hart County, LaRue County

District 19 - Bardstown, Bethlehem, Nelson County, Washington County

District 20 - Adair County, Campbellsville, Marion County, Taylor County

REGION 6

District 21 - Beth Haven, Holy Cross (Louisville), Pleasure Ridge Park, Valley, Western (* through 2012)

District 22 - DeSales (B), Doss, Evangel Christian, Iroquois

District 23 - Butler, Central, Portland Christian

District 24 - Bullitt Central, Bullitt East, Fairdale, North Bullitt, Southern

REGION 7

District 25 - DuPont Manual, Louisville Collegiate, Male, St. Xavier

District 26 - Atherton, Fern Creek, Moore, Whitefield Academy

District 27 - Ballard, Seneca, Trinity (Louisville) (B), Waggener

District 28 - Christian Academy-Louisville, Eastern, Jeffersontown,

Kentucky Country Day

REGION 8

District 29 - North Oldham, Oldham County, South Oldham, Trimble County

District 30 - Anderson County, Collins, Shelby County, Spencer County

District 31 - Carroll County, Eminence, Gallatin County, Henry County, Owen County

District 32 - Grant County, Simon Kenton, Walton-Verona, Williamstown

REGION 9

District 33 - Boone County, Conner, Heritage, Cooper, Ryle

District 34 - Dixie Heights, Lloyd Memorial, Ludlow, St. Henry District, Villa Madonna

District 35 - Beechwood, Covington Catholic, Covington Latin, Holmes, Holy Cross (Covington)

District 36 - Bellevue, Dayton, Highlands, Newport, Newport Central Catholic

REGION 10

District 37 - Bishop Brossart, Calvary Christian, Campbell County, Scott, Silver Grove

District 38 - Harrison County, Nicholas County, Pendleton County

District 39 - Augusta, Bracken County, Mason County, St. Patrick

District 40 - Bourbon County, George Rogers Clark, Montgomery County, Paris

REGION 11

District 41 - Frankfort, Franklin County, Western Hills, Woodford County

District 42 - Bryan Station, Henry Clay, Sayre, Scott County

District 43 - Lafayette, Lexington Catholic, Lexington Christian, Paul Laurence Dunbar, Tates Creek

District 44 - Berea, Madison Central, Madison Southern, Model

REGION 12

District 45 - Boyle County, Danville, Garrard County, Lincoln County

District 46 - Burgin, East Jessamine, Mercer County, West Jessamine

District 47 - Casey County, Pulaski County, Rockcastle County, Somerset

District 48 - McCreary Central, Monticello, Southwestern, Wayne County

REGION 13

District 49 - Clay County, Jackson County, North Laurel, Oneida Baptist, Red Bird

District 50 - Corbin, South Laurel, Whitley County, Williamsburg

District 51 - Barbourville, Knox Central, Lynn Camp, Pineville

District 52 - Bell County, Harlan, Harlan County, Middlesboro

REGION 14

District 53 - Cordia, Jenkins, June Buchanan, Knott County Central, Letcher County Central

District 54 - Buckhorn, Hazard, Leslie County, Perry County Central

District 55 - Breathitt County, Jackson City, Riverside Christian, Wolfe County

District 56 - Estill County, Lee County, Owsley County, Powell County

REGION 15

District 57 - Johnson Central, Lawrence County, Magoffin County, Paintsville, Sheldon Clark

District 58 - Allen Central, Betsy Layne, Prestonsburg, South Floyd

District 59 - East Ridge, Pikeville, Shelby Valley

District 60 - Belfry, Phelps, Pike County Central

REGION 16

District 61 - Bath County, Fleming County, Menifee County, Rowan County

District 62 - East Carter, Elliott County, Morgan County, West Carter

District 63 - Greenup County, Lewis County, Raceland, Russell

District 64 - Ashland Blazer, Boyd County, Fairview, Rose Hill

(*) - Not eligible for postseason play

Due to realignment, the alignment in Baseball for 2012-2013 will be as follows:

REGION 1

District 1 - Carlisle County, Fulton City, Fulton County, Hickman County

District 2 - Heath, Lone Oak, Paducah Tilghman, Reidland
 District 3 - Ballard Memorial, Graves County, Mayfield, St. Mary
 District 4 - Calloway County, Christian Fellowship, Community Christian (Paducah), Marshall County, Murray

REGION 2

District 5 - Crittenden County, Livingston Central, Lyon County, Trigg County
 District 6 - Henderson County, Union County, Webster County
 District 7 - Caldwell County, Dawson Springs, Hopkins County Central, Madisonville-North Hopkins
 District 8 - Christian County, Fort Campbell, Hopkinsville, University Heights

REGION 3

District 9 - Apollo, Daviess County, Owensboro, Owensboro Catholic
 District 10 - McLean County, Muhlenberg County, Ohio County
 District 11 - Breckinridge County, Hancock County, Meade County
 District 12 - Butler County, Edmonson County, Grayson County, Trinity (Whitesville)

REGION 4

District 13 - Franklin-Simpson, Logan County, Russellville, Todd County Central
 District 14 - Bowling Green, Greenwood, South Warren, Warren Central, Warren East
 District 15 - Allen County-Scottsville, Barren County, Glasgow, Monroe County
 District 16 - Clinton County, Cumberland County, Metcalfe County, Russell County

REGION 5

District 17 - Central Hardin, Elizabethtown, Fort Knox, John Hardin, North Hardin
 District 18 - Caverna, Green County, Hart County, LaRue County
 District 19 - Bardstown, Bethlehem, Nelson County, Washington County
 District 20 - Adair County, Campbellsville, Marion County, Taylor County

REGION 6

District 21 - Beth Haven, Fairdale, Holy Cross (Louisville), Pleasure Ridge Park, Valley
 District 22 - Butler, DeSales, Doss, Iroquois, Western
 District 23 - Bullitt Central, Evangel Christian, Moore, North Bullitt, Southern
 District 24 - Bullitt East, Fern Creek, Jeffersontown, Whitefield Academy,

REGION 7

District 25 - Central, DuPont Manual, Portland Christian, Shawnee
 District 26 - Male, St. Xavier (Boys)
 District 27 - Atherton, Seneca, Trinity (Louisville) (Boys), Waggener
 District 28 - Ballard, Christian Academy-Louisville, Eastern, Kentucky Country Day

REGION 8

District 29 - North Oldham, Oldham County, South Oldham, Trimble County
 District 30 - Anderson County, Collins, Shelby County, Spencer County
 District 31 - Carroll County, Eminence, Gallatin County, Henry County, Owen County
 District 32 - Grant County, Simon Kenton, Walton-Verona, Williamstown

REGION 9

District 33 - Boone County, Conner, Heritage, Cooper, Ryle
 District 34 - Dixie Heights, Lloyd Memorial, Ludlow, St. Henry District, Villa Madonna
 District 35 - Beechwood, Covington Catholic (Boys), Holmes, Holy Cross (Covington)
 District 36 - Bellevue, Dayton, Highlands, Newport, Newport Central Catholic

REGION 10

District 37 - Bishop Brossart, Calvary Christian, Campbell County, Scott, Silver Grove
 District 38 - Deming, Harrison County, Nicholas County, Pendleton County
 District 39 - Augusta, Bracken County, Mason County, St. Patrick
 District 40 - Bourbon County, George Rogers Clark, Montgomery County, Paris

REGION 11

District 41 - Frankfort, Franklin County, Western Hills, Woodford County
 District 42 - Bryan Station, Henry Clay, Sayre, Scott County
 District 43 - Lafayette, Lexington Catholic, Lexington Christian, Paul Laurence Dunbar, Tates Creek
 District 44 - Berea, Madison Central, Madison Southern, Model

REGION 12

District 45 - Boyle County, Danville, Garrard County, Lincoln County
 District 46 - Burgin, East Jessamine, Mercer County, West Jessamine
 District 47 - Casey County, Pulaski County, Rockcastle County, Somerset
 District 48 - McCreary Central, Monticello, Southwestern, Wayne County

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 District 50 - Corbin, South Laurel, Whitley County, Williamsburg
 District 51 - Barbourville, Knox Central, Lynn Camp, Pineville
 District 52 - Bell County, Harlan, Harlan County, Middlesboro

REGION 14

District 53 - Cordia, Jenkins, June Buchanan, Knott County Central, Letcher County Central
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REGION 15

District 57 - Johnson Central, Lawrence County, Magoffin County, Paintsville, Sheldon Clark
 District 58 - Allen Central, Betsy Layne, Prestonsburg, South Floyd
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REGION 16

District 61 - Bath County, Fleming County, Menifee County, Rowan County
 District 62 - East Carter, Elliott County, Morgan County, West Carter
 District 63 - Greenup County, Lewis County, Raceland, Russell
 District 64 - Ashland Blazer, Boyd County, Fairview, Rose Hill

II) Dates and Sites for Tournaments

District tournament sites shall be selected by a site selection plan approved by a unanimous vote of the schools eligible to compete in each district tournament. If a district is unable to resolve conflict over the plan, the Board of Control shall establish a plan.

Only schools which are members of the Association prior to January 1 of the year in which the tournament is held, and having a schedule of ten (10) or more baseball games shall be allowed to vote for the district tournament location or participate in the tournament

Criteria to be considered by the schools for the district tournament include but are not limited to- satisfactory capacity within the facility to safely accommodate past (five years) and expected attendance numbers; an officials dressing room; and adequate parking for projected attendance. In addition, all sites shall meet the published site selection criteria baseball as posted on the KHSAA website.

District tournament play shall begin not later than Monday of the week on the KHSAA Calendar for district tournaments. Permission may be obtained from the KHSAA office to start later than that date only in the event of facility conflicts. Tournaments may begin on the weekend prior if the schools concur and it alleviates facility conflicts.

The Commissioner shall determine regional tournament sites. Schools interested in hosting a regional tournament shall submit their request through the defined site selection process at prior year tournaments. The applicant shall supply information relating to seating and parking facilities and such other information as may be requested by the Commissioner. The Commissioner's office may use this site selection plan as a guide, but is not bound by this plan in determining the sites.

A two-thirds vote is necessary to adopt any plan for recommending the tournament sites and voting shall include all schools within a region, not simply the schools playing in a particular year's event. Criteria to be considered by the schools include but are not limited to- satisfactory capacity within the facility to safely accommodate past (five years) and expected attendance numbers; an officials

dressing room; and adequate parking for projected attendance. In addition, all sites shall meet the published site selection criteria baseball as posted on the KHSAA website.

The schools in the region shall agree on the amount of proceeds (if any) that is to be kept by the host school as well as limitations on expenses by the host school; determining the fixed amount or percentages to be given to each school that participates; the fixed amount or percentages to be given to each team in the region not competing in the tournament; and the disbursement of any proceeds from commissions, parking, advertising and any other revenue related to the tournament but not directly related to ticket, program or novelty sales. Resolution as to disputes on any of these issues may be facilitated by the Commissioner's office and if agreement cannot be reached, may be considered by the Board of Control.

Regional tournament play shall begin not later than Tuesday of the week on the KHSAA Calendar for region tournaments. Permission may be obtained from the KHSAA office to start later than that date only in the event of facility conflicts.

The Board of Control shall determine the site of the state tournament after receiving the Commissioner's recommendation, and shall be guided by necessary hotel facilities, playing facilities, and community/area support.

All tournaments shall be held on or as near the dates indicated on the Memorandum Calendar as local conditions permit. Any exceptions shall be approved by the Commissioner.

III) Tournament Managers

The principal of the host school shall designate an official of the school to serve as the manager for each district tournament. The Commissioner will appoint a manager for each of the regional tournaments, and shall serve as the manager for the state tournament. The Commissioner may appoint as many assistant managers as deemed necessary to manage the event. It is the duty of each district and regional tournament manager to invite representatives of the participating teams in the tournament to a meeting held for the purpose of making tournament plans.

It is an explicit duty of each tournament manager to inform the association IMMEDIATELY as to the bracket / pairings / entries / results when requested by KHSAA staff and according to the time deadlines provided including the actual site of the tournament if it is not held at the school. In addition, it shall be the duty of the manager of each contest in soccer, volleyball, football, basketball, baseball and softball to contact the KHSAA Scoreboard with the final results following each contest. This is in addition to fulfilling local media requests. The Board of Control has approved the policy that the receipts of a tournament can be assessed (a fine levied against the manager) for failure to comply with these provisions.

The representatives of the schools participating in each tournament, by majority vote, shall set the dates and times of the games, and make all other arrangements necessary to conduct the tournament. The Commissioner will direct a drawing and all of the business necessary to conduct the state tournament.

IV) District Tournament Seeding Regulations

A) For district tournaments with three teams, the district tournament shall be seeded in accordance with the following three-team seeding regulations:

- 1) Each team in a three-team district is required to play the other two teams in the district in two contests each year, at mutually agreed sites. These games will produce a uniform means of ranking the teams by seed within these districts.
- 2) The team with the highest seed (one seed) receives a bye in the district tournament, and advances to the championship game.
- 3) The remaining two teams would play in the first round of the district tournament with the loser of that game being eliminated.
- 4) In the case of a two-way tie for the district winning position, the winner in the second game played between the tied district opponents would have the higher finishing position.
- 5) In the case of a three-way tie for the district winning position, the tie would be resolved in the following manner. If any of the tie-breakers results in one of the teams being ahead of the other two, the tie is broken. If any of the tie-breakers result in two teams remaining ahead of the third, then the two-way tie breaker shall be used to determine the winner between those two.

a) The tie-breaking mechanisms (in order) shall be:

- i) If the tie remains between all three teams, the record in the second game played against all district opponents involved in the tie.
 - ii) If the tie remains between all three teams, the record in the first game played against all district opponents involved in the tie.
 - iii) If the tie remains between all three teams, then the total points allowed in all seeded games shall be the next tie-breaker.
 - iv) If the tie remains between all three teams, then the total points allowed in the second of each of the seeded games shall be the next tie-breaker.
 - v) If the tie is still unbreakable, then a blind draw among the three teams shall break the tie.
- b) Additional tie-breaking mechanisms could be added, and would be uniform for all three-team districts.
- 6) In the event of game re-scheduling or cancellation, the original game schedule shall determine which game is "second" for tie-breaking purposes.
- B) For district tournaments with four or more teams, the district tournament brackets may be drawn by random lot or placed into the bracket using a seeding system. A majority vote (recorded in writing) of the Principals, Athletic Directors or Designated Representatives of the schools in the district is required in order to make or change seeding decisions. In the absence of any documentation, minutes or other verification, the districts shall not be seeded. The regulations concerning the seeding of a district with four or more teams are as follows:
- 1) The decision to seed and the method used in seeding (including all tie-breakers) shall be determined by majority vote of the participating teams in the district and the manager shall record this vote in writing and submit it to the Association. The representatives making the written declaration shall decide all issues related to seeding.
 - 2) Seeding methods shall be determined prior to the start of the regular season, recorded in writing with a copy provided to the KHSAA, and shall remain in place until a majority vote of the participating schools rescinds or changes the seeding decisions. Such changes to the district seeding plan may not be made for the current year once play has begun in the regular season.
 - 3) In the event of dispute, the current copy on file with the KHSAA shall prevail in determining resolution.
 - 4) Seeding plans may, at the discretion of the majority vote of the schools, stipulate a particular team to a particular seeded position.
 - 5) It shall be the district tournament manager's responsibility to update the Association with respect to any changes to the seeding decision or method being used and any relevant tiebreakers. In the absence of this documentation, consultation with, and written verification and documentation from, prior year tournament managers, will be utilized by staff to assist with interpretation and clarification.
 - 6) There is no statewide regulation on a seeding method once the determination has been made to seed. Some of the more common and recommended means of seeding is the record of games played within a district provided all teams have played all other teams. In that case, each district shall adopt tie-breaking procedures in the event that the primary method of seeding results in a tie or other situations arise which cause an alteration in the scheduled seeding method. Among the more common tie-breaking methods are 1) seeding by overall win-loss record; seeding by win-loss record in specific contests, 3) seeding by a rating/ranking from a statewide poll, 3) seeding by a majority vote, 4) seeding by a committee, and 5) seeding by a rating turned in by each school.
 - 7) If win-loss records are used for seeding, the records used shall be the records as of the date of the pre-tournament meeting as published on the KHSAA memorandum calendar. Teams and school representatives may meet during the regular season to discuss tournament operations (i.e. dates, times, sites, tournament personnel needs, etc.). However if an organizational meeting is held prior to the published date on the calendar, no discussions may be held regarding the bracketing or pairings of

specific teams unless all mandated seeded games have been played prior to the meeting. Games played after the published meeting date SHALL NOT factor into the seeded position.

- 8) Seeded games unable to be played for any reason (with the exception of verified forfeited contests) prior to the published date for the district tournament meetings will be recorded as a win and a loss for both teams in computing seeded position.
- 9) If a change in the alignment of teams moves a single new team into a previously seeded district during the current school year, the previous decision as to seeding shall prevail. If the new team enters the alignment following the completion of all game contracts and the new team is unable to schedule all required opponents, the new team shall be seeded in the last position for the current season, and shall be included in seeded game scheduling for the subsequent seasons. If a single change in the alignment occurs prior to the end of the previous school year, the district teams will conduct a new vote as to seeding.
- 10) If a change in the alignment of teams moves more than one team into a seeded district, the district teams shall conduct a new vote as to seeding. A tie vote will result in the seeding decision being not seeded.
- 11) If the Board of Control approves a total realignment of teams within a district, the teams in the district shall conduct a new vote as to seeding. A tie vote in this situation shall result in the district being not seeded.

V) Tournament Meetings and Pairings

- A) District (non-seeded). The district meetings shall be held in the school designated as the tournament host not later than the second Sunday (one week and one day) prior to the week of the tournament as specified on the KHSAA Memorandum Calendar. These meetings may be held earlier by mutual agreement of all teams. The principal or his/her representative shall conduct the drawing for each school. The pairings for the district tournament shall be made in accordance with the following procedure, with all byes being arranged to be in the first round:
 - 1) The number of places to be considered shall be four, eight, sixteen, thirty-two, etc. Of the above numbers, the one that is next greater than the number of teams in the drawing shall be considered in the drawing. The teams shall be placed in the proper position of the appropriate single elimination bracket.
 - 2) If the number of teams in the drawing is less than the number of places to be considered, the even number 2 shall be designed as the first bye; the largest even number as the second bye; number 4 as the third bye; the next largest even number as the fourth bye; and so on until the number of places not so designated equals the number of teams in the drawing. The places shall be determined by drawing by lot, the numbers assigned to the "byes" having been first taken from the numbers to be drawn.
 - 3) The teams which pair with "byes" shall have a rest period during the first round of the playing of games in the tournament.
 - 4) The winner of the No. 1 and No. 2 games shall play the winner of the No. 3 and No. 4 game. The winner of the No. 5 game and No. 6 game shall play the winner of No. 7 and No. 8 game. The two winners shall play for the championship. The same general procedure shall be used in the case of more than eight places or teams.
 - 5) The district champion and the runner-up of each tournament shall advance to the regional tournament.
- B) District (seeded). The district meetings shall be held in the school designated as the tournament host not later than the second Sunday (one week and one day) prior to the week of the tournament as specified on the KHSAA Memorandum Calendar. These meetings may be held earlier by mutual agreement of all teams, but not prior to the completion of all seeded district games.
 - 1) After seeding positions have been determined, the seeded bracket pairings shall be observed. The teams shall be placed in the proper position of the appropriate single elimination bracket. The brackets (from top down) are as follows:
 - a) 3 Teams – Seed 2 plays 3, Seed 1 plays winner of Seed 2 vs. Seed 3 for championship.
 - b) 4 Teams - Seed 1 plays 4, 2 plays 3, winners meet for championship.
 - c) 5 Teams - Seed 5 plays 4, 1 plays winner of 5 vs. 4, 2 plays 3, remaining winners meet for championship.

- d) 6 Teams - Seed 4 plays 5, 3 plays 6, 1 plays winner of 4 vs. 5, 2 plays winner of 3 vs. 6, remaining winners meet for championship.
- e) 7 Teams - Seed 4 plays 5, 2 plays 7, 3 plays 6, 1 plays winner of 4 vs. 5, 2 vs. 7 winner plays 3 vs. 6 winner, remaining winners meet for championship.
- f) 8 Teams - Seed 1 plays 8, 4 plays 5, 2 plays 7, 3 plays 6, 1 vs. 8 winner plays 4 vs. 5 winner, 2 vs. 7 winner plays 3 vs. 6 winner, remaining winners meet for championship.
- 2) The district champion and the runner-up of each single elimination tournament shall advance to the regional tournament.

C) Region

- 1) The Regional meeting shall be held on Sunday afternoon immediately following the district tournaments not prior to 2:00 local time in the school designated as the host. These meetings may be held earlier by mutual agreement of all teams, but not prior to the completion of all district tournament games.
- 2) The pairings for the regional tournament shall be made in accordance to the following procedure.
 - a) In a regional tournament with participants from four districts, the district winners will draw for positions 1, 3, 5 and 7 in a standard eight-team bracket. Each corresponding runner-up team will draw for a position in the opposite bracket.
 - b) In a regional tournament with participants from three districts, the draw shall be conducted as follows. The format will follow a standard eight-team bracket with two byes, those falling into positions 2 and 7. The district winners shall draw for positions 1 and 8 in the bracket. The district winner not drawing a bye shall draw for a position in slots 3 through 6. The district runner-up to the district champion drawing for position in slots 3 through 6 will draw into one of the two remaining slots in the bracket opposite the district winner, and the remaining two runner-up teams will be placed opposite their corresponding district winners in the remaining two slots in the bracket.
 - c) In a regional tournament with participants from two districts, the draw shall be conducted as follows. The district winners will draw for positions 1 and 3 in a standard four-team bracket. Each corresponding runner-up team will be placed in the position in the opposite bracket from the district winner.
 - d) In regions where the drawing of district boundaries result in two or three districts, the Board of Control may approve an alternate format upon request of the member schools in order to allow for a full eight-team bracket in the regional tournament.
 - 3) The winner of each regional tournament shall advance to the state tournament.
- E) State. The Commissioner shall conduct a blind draw to determine the pairings for the state tournament. The winner of the each of the sixteen (16) regional tournaments shall advance to the state tournament.

VI) Eligibility to Enter Tournament Play

To be eligible to compete in the district, region or state tournament, a member school team shall have competed in at least ten games during the regular season.

VII) Contestants

Each school shall submit a properly identified team photograph in compliance with published deadlines and directives. A school shall enter an unlimited number of contestants on an electronic roster via the KHSAA website and online participation tracking system. This roster may be revised throughout the regular season and shall serve as the preliminary list as referred in these regulations. On the first day of postseason play, these rosters shall be locked so that no additional players may be added, deleted or revised following this date, thereby creating the final eligibility list for tournament play. After this time, the only revision allowed will be to correct a documented clerical error as referred to in Bylaw 14.

A school may enter a team composed of twenty-one (21) players in each postseason tournament game that shall be players who appeared on the roster submitted online. The twenty one (21) players shall be designated each game, and no other players may be in uniform and tournament management may limit the total number of individuals in the dugouts.

The competing teams in the state tournament will be afforded

passes to accommodate a traveling party forty (40), to include the school principal(s) and other administrators, the coaching staff, the team members in uniform (maximum 21) and the support staff for the team. No additional passes will be issued.

VIII) Umpires (Regular and Postseason)

For regular season play, baseball umpires are to be paid \$46.00 per game per official for a two person crew, \$41.00 each additional contest. If additional umpires are assigned, the fee may be reduced by \$5. The local regional policy board may approve a supplement for incidental expenses such as travel and mileage. The total amount paid to baseball umpires in a given local regional policy board area shall be no greater than \$5.00 more than the amount paid to fast-pitch softball umpires.

Umpires for all KHSAA sponsored championship competition shall be assigned by the Commission. Only KHSAA Level 2 or Level 3 officials, who are licensed by June 30 of the licensing year, shall be used in the regional or state tournaments. Exceptions may be approved by the Commission.

Local associations may submit recommendations for postseason assignments to district, region, and state tournament contests. The final selection shall be made by the Commission.

The Board of Control has established fees for postseason play, In all tournament levels, one member of the crew of officials is to be paid a mileage allowance based on the mileage submitted to the manager by the association staff member in charge of the sport or his/her designee. Additional postseason allowances for lodging, etc. shall be at the discretion of the tournament manager and shall be approved by the Commissioner.

District tournament umpires shall be paid a fee of \$46.00 per game for a three-person or four-person system. In the case where an official is required to travel outside of the local assigning area by virtue of the assignments, the fee shall be increased by \$5 per person, per game.

Regional tournament officials shall be paid a fee of \$51.00 per game for a four-person system. In the case where an official is required to travel outside of the local assigning area by virtue of the assignments, the fee shall be increased by \$5 per person, per game.

State tournament officials shall be paid \$56.00 per game and shall be assigned to a four-person crew.

IX) Champion

The champion of each district and region tournament will be the team undefeated in a single elimination tournament.

X) Trophies and Awards

A) District and Region

- 1) Trophies will be given to each district and regional tournament winner and runner-up.
- 2) The trophies at the district and region shall be paid from the gate receipts of that tournament.

B) State

- 1) The state tournament champion, runner-up, and semi-finalists shall receive a trophy and twenty-four (24) individual medals.
- 2) An All-Tournament team will be selected at the state tournament along with a Most Valuable Player.
- 3) The Association will bear the costs of all trophies at the state tournament.

XI) Finances

A) District and Region

The participating schools may adopt by majority vote, any plan that they desire for the distribution of receipts. If no plan can be agreed upon, the following shall prevail:

- 1) A mileage fee of \$1.00 per team per mile for each necessary trip should be allowed for travel expense. An allowance for one meal at \$5.00 per team member per trip to the tournament should be allowed.
- 2) The host school shall be allowed fifteen (15) percent of the gross ticket receipts derived from the district or regional tournament for rental of the gymnasium and other services rendered to include setup and clean-up charges and for paying documented incidental bills related to the tournament that are approved by the participating teams.
- 3) Prior to any additional distribution, the gross receipts, less the host designated share outlined in subsection (2), shall be reduced by team travel expenses and the amounts paid for game officials and trophies.

4) The remainder of the profit shall be divided among the schools participating in the tournament and the non-participating schools in accordance with previously approved plans.

5) The host school should be allowed the profits made on programs, concessions, parking, etc.

B) State

1) The Association will finance the state tournament. The following allowances will apply if the receipts from the tournament make them justifiable, otherwise, the Board of Control will determine the allowance.

2) Expenses will be paid for meals, lodging and travel for each team through breakfast on the day following elimination from the tournament for each team.

3) The state tournament squad for purposes of reimbursement shall be limited to twenty-four (24) persons, including the principal, coaches, team members and other personnel.

4) Schools failing to stay in the motel assigned by the Association shall forfeit the lodging allowance. Commuting teams shall be paid an allowance in accordance with pre-tournament instructions.

5) The rate to be reimbursed for state championship play is \$1 per mile (round trip), \$5 per meal per person, and a maximum of \$13 per person per night for lodging as approved.

6) After all expenses have been paid, the Kentucky High School Athletic Association shall retain profits from each state tournament.

XII) Withdrawal from Tournament

No school which enters a district, regional or state tournament (draws for place) shall withdraw from the tournament or forfeit any of its games, but shall play its entire tournament schedule. If a school withdraws from a district, regional or state tournament at any time following the draw for district tournament positions or after the pairings have been determined in a seeded district, that school may be suspended from the Association for a period of twelve (12) months and shall be eliminated from postseason play for two years unless the Board of Control rules that sufficient grounds for withdrawal are submitted.

XIII) Special Tournament and Regular Season Rules

A) Pitching Limitations

- 1) For purposes of this rule, delivery of a minimum of one (1) pitch constitutes having pitched in one inning.
- 2) A pitcher may pitch in a maximum of nine (9) innings in one (1) day, but not more than fifteen (15) innings in any seven (7) day period.
- 3) If a pitcher pitches in three (3) innings to five (5) innings, in one (1) day, that pitcher shall have one (1) calendar day of rest beginning with the day following the first day a pitch is thrown.
- 4) If a pitcher pitches in six (6) innings, that pitcher shall have two (2) calendar days of rest beginning with the day following the first day a pitch is thrown.
- 5) If a pitcher pitches in seven (7) or more innings in one day, that pitcher shall have three (3) calendar days of rest beginning with the day following the first day a pitch is thrown.
- 6) This limitation affects regular season and postseason play.

B) Speed-Up Rules

All baseball contests shall utilize the optional speed-up rules allowed by the National Federation as detailed for the courtesy runner(s) for the pitcher and catcher upon reaching base.

C) Specifications of Fields and Game Balls

The specifications for recommended and required standards for fields to be used in tournament play may be developed by Association staff and Advisory Committees and approved by the Board of Control. The Association may enter into a contract to restrict the use of a ball in tournament play to a specific brand or model.

D) Additional Rules for Contests

Additional rules, governed by State Association adoption provisions in the National Federation Playing Rules or otherwise developed by the Association may be implemented in contests played in Kentucky and will be distributed to the membership when relevant. Detailed and binding instructions, both for managers and participating teams, shall be published on the KHSAA website.

E) Coaching Uniform

- 1) Coaches in the coaching boxes (first and third bases) shall wear baseball uniform pants, shoes, stirrups (if worn by the team), and baseball/athletic shoes (no sandals, etc.) The top may differ from the team uniform but shall, if not identically matching the team uniform, contained readily identified team references using either the school name or the school mascot. Such items as golf polo shirts, wind shirts and other tops shall be allowed provided the proper school name/nickname provisions are met. Such items shall not be allowed if containing references to other school teams (i.e. X County football), or non-school related facilities (i.e. X County Country Club).
- 2) Other coaches who may appear on the field for conferences, including the pre-game conference, or offensive or defensive charged conferences, shall be uniformly dressed in school identified clothing. These individuals shall either be 1) wearing baseball uniform pants, shoes, stirrups (if worn by the team), and baseball/athletic shoes (no sandals, etc.) with the top provisions as specified for the base coaches; or 2) they may wear khaki dress slacks (full length), with appropriate shoes, and the top provisions as specified for the base coaches.

F) Team Uniform

In KHSAA sanctioned postseason play, all players who enter the contest shall wear the same color and style uniform.

G) Interrupted Games

- 1) If a game is interrupted in tournament play or in regular season play, and is subsequently to be completed, it will be reset at the same point, same inning, same out or outs, same balls and strikes, same batter or number of batter in the batting order.
- 2) If a game is interrupted and unable to be completed, it shall be counted against all applicable game limits, including total number of games and all pitching restrictions.

H) Complete Game

In regular season, and postseason, a complete game of 6 1/2 or 7 innings shall be played unless other National Federation rules apply to the situation. All games in a seeded district shall be played to completion.

I) Pitcher Warm-up Area

If the pitcher's warm-up area is in live ball territory, only those individuals specified by NFHS playing rules (pitcher - catcher - personal protector) may be in the live ball area during any warm-up period. Only members of the team and adult coaches may serve as personal protector, and all persons serving in that role shall wear a properly fitted helmet.

J) Use of Electronic Devices

Electronic devices, such as radar devices to measure pitching speed, may only be used by either team outside of the dugout with mutual consent of both teams. If either team objects, then the equipment shall be used in the confines of the dugout.

K) Heat Index

All play shall adhere to the KHSAA Heat Index Program. For postseason play, it is the responsibility of the host site manager to ensure and track the heat index and report it accordingly to the KHSAA.

XIV) School Personnel to Accompany Team

In accordance with Bylaw 20, each school having participants in a KHSAA event shall send a school designee to accompany the team. This person should be designated in writing by the Principal and approved by the local Board of Education.

(Case situations related to this sport appear following the Tournament Rules section)

CASE SITUATIONS RELATED TO THE TOURNAMENT RULES of the KENTUCKY HIGH SCHOOL ATHLETIC ASSOCIATION

Specific case situations are contained in this section of the KHSAA Handbook which are interpretations and rulings which have been made in accordance with applicable provisions of the KHSAA Constitution. These interpretations supplement the printed rules but do not in any manner substitute for the actual rule. Many of these rulings have established precedent for the interpretation or enforcement of these provisions, and remain in place until further altered, re-interpreted, or otherwise set aside. Specific inquiries not addressed by published interpretations should be submitted in writing to the Commissioner of the KHSAA, 2280 Executive Drive, Lexington, KY 40505. Interpretive questions or eligibility rulings shall be requested and issued in writing.

Case TR-1- What are the sanctioned sports and sports activities of the KHSAA?

The KHSAA sanctions all sports and sport activities for which a state championship is conducted, and competitive cheer conducted in compliance with NFHS rules and KHSAA Bylaw 25, Section 18.

Case TR-2- What are the current championships of the KHSAA?

The KHSAA sponsors championship events in the fall, winter and spring seasons. Fall championships are considered to be cross country (boys and girls), golf (boys and girls), soccer (boys and girls), volleyball (girls), and football (combined); winter championships are basketball (boys and girls), bowling (boys and girls), wrestling (combined) and swimming (boys and girls); spring championships are baseball (boys), softball (fast pitch for girls), tennis (boys and girls) and track (boys and girls)

Case TR-3- What sports and sport activities are insured by the KHSAA?

The KHSAA Board of Control will purchase Catastrophe Insurance, using the member dues and tournament receipts, to insure the student-athletes who compete in the sanctioned sports and sport activities of the KHSAA. In addition, students serving in a support capacity at those sponsored events (managers, trainers, etc) shall be included in the coverage as well as cheerleaders complying with the NFHS rules restrictions and Bylaw 25 limitations.

Such insurance coverage shall be in force from the first day of the Bylaw 25, Limitation of Seasons in a sponsored sport or sport activity, through the last day, provided such competition complies with all parts of the Bylaw and its individual competitors comply with all applicable KHSAA bylaws. The deductible for this policy shall be published in a timely manner to ensure that the school and student-athletes have adequate opportunity to comply with Bylaw 2.

The KHSAA Board of Control will purchase General Liability Insurance, using the member dues and tournament receipts, to provide for General Liability coverage for the Association and its agents and assignees, in all KHSAA sponsored competition in all sports for which the Association sponsors a championship. The Commissioner shall ensure through all forms of due diligence, that all levels of these sponsored championships are conducted in accordance with applicable KHSAA Tournament Regulations in order to maintain the integrity of the policy.

Case TR-4- How many schools are required to agree to participate in KHSAA sponsored postseason competition in order for the Association to hold a championship in that sport?

Ten (10) percent of the member schools located in at least three (3) different basketball regions shall agree to field a team in order that the Association consider sponsoring a championship in that sport. In addition, ten (10) percent of the member schools located in at least three (3) different basketball regions shall participate each year in order that the Association maintains championships in that sport. The Association shall survey the membership every three years to determine interest in new offerings. (Board of Control, March, 1994, amended February, 2011)

Case TR-5- Who is in control of the media and press at KHSAA sponsored competition?

- 1) The intellectual property, corporate, broadcasting and media rights to the State championship rounds of the KHSAA postseason championships belong exclusively to the KHSAA, including titling agreements and sponsorships. No member school may approve or sign an agreement that includes rights to state contests (delayed or live).
- 2) The intellectual property, corporate, broadcasting and media rights to the postseason rounds prior to the state finals belong exclusively to the KHSAA, including titling agreements and sponsorships. No member school can sign an exclusive agreement for a postseason round (District, Region, Sub-Section, Section) without agreement between the schools and approval of the KHSAA Office.
- 3) The intellectual property, corporate, broadcasting and media rights to the postseason rounds prior to the state finals belong exclusively to the KHSAA, but are assigned to the Principal of each institution for management and control. No member school shall sign an exclusive regular season agreement that would "Shut out" its opponent from any audio, video streaming or having the opportunity to do so.
- 4) At all levels of competition during postseason play, control of media access and location at those contests is with the KHSAA. In general, the management of those rights may be assigned to the manager at the host KHSAA member school for the district, regional and sectional (semi-state) competition.
- 5) At all levels of competition, including district, regional, sectional, and state competition in KHSAA sponsored tournaments and meets, managers are to make allowances for members of the television media to tape portions of the contests at no charge to the media outlet, as long as the taping is for the sole purpose of newscast highlights.
- 6) Outlets desiring to tape the contest for broadcast or telecast shall seek the permission of the event manager, and the manager has the right to set and collect any fee which may be deemed appropriate as an offset to any perceived lost ticket revenue.
- 7) At the state level, all negotiations for rights to broadcast or telecast shall be initiated with the Sports Information Director and the Commissioner, and shall be approved by the Commissioner.

Case TR-6- Who is empowered to make decisions at KHSAA tournaments when inclement weather forces postponement of contests or events?

While it would be ideal if all parties could meet and agree on any re-scheduling of games necessitated by inclement weather or other natural events, this may not be practical. It is the duty of the tournament manager to make decisions with regards to re-scheduling in the event of postponement and ensure that all teams, media outlets and schools are properly notified.

Case TR-7- Is it possible for a winner and corresponding runner-up in baseball, basketball, soccer, softball or volleyball district tournament to meet before the final regional game?

No, because they are to be placed in opposite brackets.

Case TR-8- Is there a mandatory brand of game ball to be used in the state tournament in baseball, basketball, football, softball, volleyball or soccer?

Yes, the Association has a long-term agreement with Rawlings Sporting Goods to provide specific balls for the state championship tournament, and the Commissioner's office can provide specifications upon request. In all postseason contests in these sports, a Rawlings brand ball with the proper NFHS authenticating mark shall be used. There is no requirement that a KHSAA logo appear on the ball, or that all balls except for these specific models be disallowed.

For 2011-2012, those ball numbers are:

- Boys Basketball - KYPROMB
- Girls Basketballs - KYPROWB
- Volleyball - KYVBI1001B (white), KYVBI10013B (3-panel)
- Soccerball - KYSB850B
- Baseball - R100KY and R200KY

Softball - NFHS12Y47LKY

Football - ST5KYB and ST5PROSKYB.

Case TR-9- Are there any restrictions on the scheduling and playing of games in a “seeded district” in baseball, basketball, soccer, softball, or volleyball?

Yes. Principals, Athletic Directors and Coaches should consult the Tournament Rules related to each of these sports for more specific dates for tournament meetings and allowances for scheduling of these meetings. All games involved in the calculation of a seed position SHALL be played on or before the Wednesday prior to the start of postseason play. Games played after that time SHALL NOT factor into the seeded position.

For the 2011-2012 school year, the deadline to play seeded district games in soccer and volleyball is October 2, 2011.

For the 2011-2012 school year, the deadline to play seeded district games in basketball is February 12, 2012.

For the 2011-2012 school year, the deadline to play seeded district games in baseball and softball is May 14, 2012.

Case TR-10- When are the boys’ and girls’ state basketball tournaments to be played?

Unless facilities are unavailable, and unless further altered by the Board of Control, the boys’ state basketball tournament will be played during the same week as the first round of the NCAA mens’ basketball championships. The Board shall then adopt and publish corresponding dates to indicate the timing of the girls’ state tournament, and all district and regional tournaments.

Case TR-11- How are the tournament sites selected for district tournament sites for baseball, basketball, soccer, softball in the event that agreement cannot be reached on a site selection plan?

If a site rotation agreement cannot be reached on first round (normally district) tournaments, the Commissioner will intervene and establish a rotation plan subject to approval by the Board of Control. The site selection plan shall be confirmed by annual balloting during the season and reported to the Association along with seeding decisions. Rotating the tournament sites does not necessarily mean that each school shall host the tournament at that school’s home site, but that each school, with available proper and adequate facilities, shall have the opportunity to host the tournament, even if an alternative site is required due to site requirements within a given sport.

Case TR-12- What schools may vote for basketball district tournament sites, site selection or seeding plan?

Only member schools having a schedule of twelve games or more with at least six different member schools.

Case TR-13- Is there a deadline for a district host school in baseball, basketball, soccer, softball or volleyball to select a playing site if the school home site doesn’t meet the requirements for holding the tournament?

Yes. Through various rules and rulings in past years, every school in a specific district alignment shall have an opportunity to serve as the host for the tournament. Each school may, of course, decline that opportunity. However, this requirement ensures that on a periodic basis, all schools get to share in the concession and parking proceeds, host share of the ticket receipts and other benefits that come from serving as the host. It is recognized that all schools in a given alignment will not meet the tournament specifications.

For this reason, the following steps shall be taken by the schools in the district-

- 1) The schools shall meet and decide what facilities can hold the attendance of the last five years of the tournament based on the fan followings of the schools, and satisfy other logistical needs such as accessibility, parking, etc. (agreed sites). If there is a disagreement, the Board of Control can serve as the final resolution and KHSAA staff shall assist in that determination.
- 2) The discussion on site applicability is separate from the ability to “host”, that is being the tournament manager, operating concessions, etc. as all schools shall be given that opportunity to host, just not necessarily at a site that cannot accommodate

the event.

3) From that list of agreed sites, the rotation of sites is to be considered, factoring in of course, that all schools shall be given a chance (if they want) to host, even if it isn’t played at the host school’s home playing facility.

4) In a year when the designated host’s home facility does not meet the specifications for holding the tournament, the final selection as to the playing site rests with the designated host school. That school has sole discretion to make that decision, but is compelled to choose only from the list of agreed facilities as mentioned in (1) above.

5) In a year when the designated host school’s home facility does not meet the specifications for holding the tournament, the designation shall be made by September 20 in volleyball and soccer; January 1 in basketball; and April 15 for baseball and softball.

Case TR-14- When is the standard meeting to be held for the district tournament in baseball, basketball, soccer, softball and volleyball?

Not later than the second Sunday prior to the published week of the district tournament in all sports where a tournament meeting is specified (baseball, basketball, soccer, softball and volleyball). The meeting may be held earlier if the participating teams agree, but not prior to the final announcement of sites.

Case TR-15- May teams withdraw from a baseball, basketball, soccer, softball or volleyball tournament after the drawings have been made?

No. The penalty for such a withdrawal is withdrawal from postseason competition for two years and could also result in suspension from the Association for a period of twelve months.

Case TR-16- May a name be added to the roster or entry form after the deadline for submission or editing?

No, changes cannot be made unless otherwise provided in the tournament rules for a specific sport. The principal shall check the online roster in baseball, basketball, football, soccer, softball and volleyball to ensure that all players are on the list as the rosters are locked after postseason play begins. For individual sports using the published entry list and/or forms, the specific sport tournament rules will address any options for substitution or correction, if such options exist.

Case TR-17- How many names can appear on the roster for baseball, basketball, football, soccer, softball and volleyball?

Schools may enter an unlimited roster using the online roster system for baseball, basketball, football, soccer, softball and volleyball. The coach, Principal or designated representative can then designate the required number of contestants for each event from that list.

Case TR-18- How is it determined that a meet counts against the four meet minimum in Track and Field or Cross Country?

In order to count as a meet for the four meet minimum a meet shall be a meet which rises to the standard of counting as a meet towards the interpretations of Bylaw 25. In addition, in Cross Country, the distance would be a minimum of 2500 meters and a maximum of 5000 meters and involved entries from two or more schools. To count towards the minimums, the meets may be at the Varsity or non Varsity level in terms of meet structure. It shall be counted in the same manner for all competitors (varsity, JV or freshman). The school approved or provided uniform shall be worn in this competition. In accordance with Bylaw 20, a school representative shall accompany the team.

Case TR-19- If a Cross Country Meet is interrupted by weather, can other races be run that day?

Yes. The particular interrupted race is considered complete and counts both towards meet limits and participation minimums. If other competition can resume after required delays, that is permissible. Only races actually started can count towards meet limits or participation minimums.