

## KHSAA RULES AND REGULATIONS Governing Swimming & Diving Meets

*(Adopted by the Board of Control)*

*(Case situations refer to rulings and interpretations used to administer these provisions which can be found in the next section of the Handbook)*

### I) Assignment of Schools to Regions

The Kentucky High School Athletic Association shall sponsor postseason competition in swimming and diving for boys and girls, provided that ten (10) percent of the member schools declare intentions to participate in said competition. Member schools desiring to participate in KHSAA sponsored postseason competition shall notify the Association office prior to November 1. The Commissioner shall divide the state into geographic sections with the approval of the Board of Control. Newly added or deleted programs will be handled on a case by case basis by the Commissioner's office.

As of August 15, 2011, the alignment is as follows —

REGION 1 - Allen County-Scottsville, Apollo, Barren County, Bowling Green, Butler County, Caldwell County, Calloway County, Community Christian Academy, Christian County, Christian Fellowship, Crittenden County, Daviess County, Franklin Simpson, Fort Campbell, Glasgow, Graves County, Green County, Greenwood, Heath, Henderson County, Hickman County, Hopkins County Central, Hopkinsville, Livingston Central, Logan County, Lone Oak, Madisonville-North Hopkins, Marshall County, Mayfield, Muhlenberg County, Murray, Ohio County, Owensboro, Owensboro Catholic, Paducah Tilghman, Reidland, Russellville, South Warren (beginning 2010-11), St. Mary, Trigg County, University Heights, Warren Central

Region 2 - Assumption, Atherton, Ballard, Brown, Bullitt Central, Bullitt East, Butler, Central, Christian Academy-Louisville, Doss, Dupont Manual, Eastern, Evangel Christian, Fairdale, Fern Creek, Holy Cross (Louisville), Kentucky Country Day, Kentucky School f/t Blind, Louisville Collegiate, Male, Mercy, North Oldham, Oldham County, Pleasure Ridge Park, Presentation, Sacred Heart, Seneca, Shawnee, South Oldham, St. Francis, St. Xavier, Trinity (Louisville), Waggener, Whitefield Academy

REGION 3 - Adair County, Anderson County, Bethlehem Boyle County, Campbellsville, Casey County, Central Hardin, Collins (beginning 2010-11), Danville, East Jessamine, Elizabethtown, Eminence, Frankfort, Fort Knox, Franklin County, Garrard County, John Hardin, Henry County, Kentucky School f/t Deaf, LaRue County, Marion County, Meade County, Mercer County, Nelson County, North Hardin, Russell County, Scott County, Shelby County, Spencer County, Taylor County, West Jessamine, Western Hills, Woodford County

Region 4 - Ashland Blazer, Beechwood, Boone County, Boyd County, Calvary Christian, Campbell County, Conner, Cooper, Covington Catholic, Covington Latin, Deming, Dixie Heights, Elliott County, Fleming County, Highlands, Holmes, Holy Cross (Covington), Lloyd Memorial, Mason County, Notre Dame Academy, Owen County, Rose Hill, Rowan County, Russell, Ryle, Scott, Simon Kenton, St. Henry, St. Patrick, Villa Madonna

Region 5 - Allen Central, Barbourville, Berea, Bourbon County, Bryan Station, East Ridge, George Rogers Clark, Harrison County, Henry Clay, Johnson Central, Knott County Central, Knox Central, Lafayette, Letcher, Lexington Catholic, Lexington Christian, Madison Central, Madison Southern, Model, Montgomery County, Monticello, Oneida Baptist Institute, Paris, Paul Laurence Dunbar, Piarist, Sayre, Pulaski County, Somerset, Southwestern, Tates Creek, Williamsburg

### II) Dates and Sites for Meets

The Commissioner with the approval of the Board of Control shall determine the date and site of the regional and state meets. All meets will be held within the dates indicated on the Memorandum Calendar unless otherwise approved in writing by the Commissioner.

Schools interested in hosting a regional meet shall submit their request in writing prior to October 1 of the tournament year. The applicant shall supply information relating to seating and parking facilities and such other information as may be requested by the Commissioner. Application forms for hosting tournaments in all sports are provided to each member school by the Association.

To be considered for region assignment, the host school shall own

a current license to the Association designated software application for managing region entries and results.

The Board of Control shall determine the site of the state finals after receiving the Commissioner's recommendation, and shall be guided by necessary hotel facilities, playing facilities, and community/area support.

### III) Meet Managers

The Commissioner will appoint a manager for each of the regional meets, and shall serve as the manager for the state meet. The Commissioner may appoint as many assistant managers as deemed necessary to manage the event. It is the duty of regional managers to notify all schools participating in the meet as to the time and place of the meet, to supply them with entry blanks, to invite their participation, and make all arrangements to conduct the meet. It is also the duty of the manager to arrange the contestants into heats for the preliminary events. It is an explicit duty of each tournament manager to inform the association IMMEDIATELY as to the bracket / pairings / entries / results when requested by KHSAA staff and according to the time deadlines provided including the actual site of the tournament if it is not held at the school. The Board of Control has approved the policy that the receipts of a tournament can be assessed (a fine levied against the manager) for failure to comply with these provisions.

### IV) Contestants

A school may enter any eligible student-athlete in the postseason region meet. All entries in KHSAA postseason play shall be done electronically using the Association designated software application.

The deadline for entry is not later than one week prior to the start of the regional meet.

A school may enter as many as four contestants in each event at the regional meet except for the relay events. A school may enter only one relay team in each relay event at the regional meet. National Federation Swimming and Diving Rules shall apply at all regional meets and at the state meet.

To be eligible to participate in the regional and state meets, a contestant shall have competed in at least two meets on a team representing a member school.

Any otherwise eligible contestant whose name is on the entry blank may be substituted for the original entry prior to the time that heats are set up for the regional meet. The regional manager shall establish this deadline. In the State Meet, relay teams need not be composed of the same contestants as those in the regional meet. In relay events, eight (8) individuals may be listed as entries, any four (4) of which shall be assigned to swim. Participating in the preliminary or final round of an event shall count as an event toward this limitation.

State qualifiers include the top two finishers in each event from each regional meet, as well as the next fourteen (14) at-large times from each event, or highest scores in the case of diving.

### V) Events

All meets will have the following events: 200 Yard Medley Relay, 200 Yard Freestyle, 50 Yard Freestyle, 200 Yard Individual Medley, 1 Meter Diving, 100 Yard Butterfly, 100 Yard Freestyle, 100 Yard Backstroke, 500 Yard Freestyle, 100 Yard Breaststroke, 400 Yard Freestyle Relay and 200 Freestyle Relay.

### VI) Champion

Contestants finishing in the first sixteen places shall be awarded points in accordance with National Federation Swimming and Diving Rules. The champion will be the team with the greatest number of points.

### VII) Trophies and Awards

A) Region.

- 1) Trophies will be given to the team winner and runner-up .
- 2) Awards will be given to top six (6) finishing in each event at the regional meet.
- 3) The Association will bear the costs of all trophies and medals at the regional meet.

B) State.

- 1) Trophies will be awarded to the teams finishing first, second, third and fourth at the state tournament.
- 2) Awards will be given to the top eight (8) individuals finishing in each event at the state meet.

- 3) The Association will bear the costs of all trophies and medals at the state tournament.

#### **VIII) Finances**

##### A) Region

- 1) The finances of the regional meet will be managed at the regional level.
- 2) The regional competing schools shall decide any distribution of net profit/loss, which shall be shared.

##### B) State

- 1) The Association will finance the state tournament.
- 2) Schools are responsible for the expenses of competitors participating in the state meet.

#### **IX) Special Tournament and Regular Season Rules**

##### A) Specifications for Swim Venues

The specifications for recommended and required standards for swim venues to be used in postseason competition may be developed by Association staff and Advisory Committees and approved by the Board of Control.

##### B) Additional Rules for Contests

Additional rules, governed by State Association adoption provisions in the National Federation Playing Rules or otherwise developed by the Association may be implemented in meets in Kentucky and will be distributed to the membership when relevant.

#### **IX) School Personnel to Accompany Team**

In accordance with Bylaw 20, each school having participants in a KHSAA event shall send a school designee to accompany the team. This person should be designated in writing by the Principal and approved by the local Board of Education.

*(Case situations related to this sport appear following the Tournament Rules section)*

## CASE SITUATIONS RELATED TO THE TOURNAMENT RULES of the KENTUCKY HIGH SCHOOL ATHLETIC ASSOCIATION

Specific case situations are contained in this section of the KHSAA Handbook which are interpretations and rulings which have been made in accordance with applicable provisions of the KHSAA Constitution. These interpretations supplement the printed rules but do not in any manner substitute for the actual rule. Many of these rulings have established precedent for the interpretation or enforcement of these provisions, and remain in place until further altered, re-interpreted, or otherwise set aside. Specific inquiries not addressed by published interpretations should be submitted in writing to the Commissioner of the KHSAA, 2280 Executive Drive, Lexington, KY 40505. Interpretive questions or eligibility rulings shall be requested and issued in writing.

### **Case TR-1- What are the sanctioned sports and sports activities of the KHSAA?**

The KHSAA sanctions all sports and sport activities for which a state championship is conducted, and competitive cheer conducted in compliance with NFHS rules and KHSAA Bylaw 25, Section 18.

### **Case TR-2- What are the current championships of the KHSAA?**

The KHSAA sponsors championship events in the fall, winter and spring seasons. Fall championships are considered to be cross country (boys and girls), golf (boys and girls), soccer (boys and girls), volleyball (girls), and football (combined); winter championships are basketball (boys and girls), bowling (boys and girls), wrestling (combined) and swimming (boys and girls); spring championships are baseball (boys), softball (fast pitch for girls), tennis (boys and girls) and track (boys and girls)

### **Case TR-3- What sports and sport activities are insured by the KHSAA?**

The KHSAA Board of Control will purchase Catastrophe Insurance, using the member dues and tournament receipts, to insure the student-athletes who compete in the sanctioned sports and sport activities of the KHSAA. In addition, students serving in a support capacity at those sponsored events (managers, trainers, etc) shall be included in the coverage as well as cheerleaders complying with the NFHS rules restrictions and Bylaw 25 limitations.

Such insurance coverage shall be in force from the first day of the Bylaw 25, Limitation of Seasons in a sponsored sport or sport activity, through the last day, provided such competition complies with all parts of the Bylaw and its individual competitors comply with all applicable KHSAA bylaws. The deductible for this policy shall be published in a timely manner to ensure that the school and student-athletes have adequate opportunity to comply with Bylaw 2.

The KHSAA Board of Control will purchase General Liability Insurance, using the member dues and tournament receipts, to provide for General Liability coverage for the Association and its agents and assignees, in all KHSAA sponsored competition in all sports for which the Association sponsors a championship. The Commissioner shall ensure through all forms of due diligence, that all levels of these sponsored championships are conducted in accordance with applicable KHSAA Tournament Regulations in order to maintain the integrity of the policy.

### **Case TR-4- How many schools are required to agree to participate in KHSAA sponsored postseason competition in order for the Association to hold a championship in that sport?**

Ten (10) percent of the member schools located in at least three (3) different basketball regions shall agree to field a team in order that the Association consider sponsoring a championship in that sport. In addition, ten (10) percent of the member schools located in at least three (3) different basketball regions shall participate each year in order that the Association maintains championships in that sport. The Association shall survey the membership every three years to determine interest in new offerings. (Board of Control, March, 1994, amended February, 2011)

### **Case TR-5- Who is in control of the media and press at KHSAA sponsored competition?**

- 1) The intellectual property, corporate, broadcasting and media rights to the State championship rounds of the KHSAA postseason championships belong exclusively to the KHSAA, including titling agreements and sponsorships. No member school may approve or sign an agreement that includes rights to state contests (delayed or live).
- 2) The intellectual property, corporate, broadcasting and media rights to the postseason rounds prior to the state finals belong exclusively to the KHSAA, including titling agreements and sponsorships. No member school can sign an exclusive agreement for a postseason round (District, Region, Sub-Section, Section) without agreement between the schools and approval of the KHSAA Office.
- 3) The intellectual property, corporate, broadcasting and media rights to the postseason rounds prior to the state finals belong exclusively to the KHSAA, but are assigned to the Principal of each institution for management and control. No member school shall sign an exclusive regular season agreement that would "Shut out" its opponent from any audio, video streaming or having the opportunity to do so.
- 4) At all levels of competition during postseason play, control of media access and location at those contests is with the KHSAA. In general, the management of those rights may be assigned to the manager at the host KHSAA member school for the district, regional and sectional (semi-state) competition.
- 5) At all levels of competition, including district, regional, sectional, and state competition in KHSAA sponsored tournaments and meets, managers are to make allowances for members of the television media to tape portions of the contests at no charge to the media outlet, as long as the taping is for the sole purpose of newscast highlights.
- 6) Outlets desiring to tape the contest for broadcast or telecast shall seek the permission of the event manager, and the manager has the right to set and collect any fee which may be deemed appropriate as an offset to any perceived lost ticket revenue.
- 7) At the state level, all negotiations for rights to broadcast or telecast shall be initiated with the Sports Information Director and the Commissioner, and shall be approved by the Commissioner.

### **Case TR-6- Who is empowered to make decisions at KHSAA tournaments when inclement weather forces postponement of contests or events?**

While it would be ideal if all parties could meet and agree on any re-scheduling of games necessitated by inclement weather or other natural events, this may not be practical. It is the duty of the tournament manager to make decisions with regards to re-scheduling in the event of postponement and ensure that all teams, media outlets and schools are properly notified.

### **Case TR-7- Is it possible for a winner and corresponding runner-up in baseball, basketball, soccer, softball or volleyball district tournament to meet before the final regional game?**

No, because they are to be placed in opposite brackets.

### **Case TR-8- Is there a mandatory brand of game ball to be used in the state tournament in baseball, basketball, football, softball, volleyball or soccer?**

Yes, the Association has a long-term agreement with Rawlings Sporting Goods to provide specific balls for the state championship tournament, and the Commissioner's office can provide specifications upon request. In all postseason contests in these sports, a Rawlings brand ball with the proper NFHS authenticating mark shall be used. There is no requirement that a KHSAA logo appear on the ball, or that all balls except for these specific models be disallowed.

For 2011-2012, those ball numbers are:

- Boys Basketball - KYPROMB
- Girls Basketballs - KYPROWB
- Volleyball - KYVBI1001B (white), KYVBI10013B (3-panel)
- Soccerball - KYSB850B
- Baseball - R100KY and R200KY

Softball - NFHS12Y47LKY

Football - ST5KYB and ST5PROSKYB.

**Case TR-9- Are there any restrictions on the scheduling and playing of games in a "seeded district" in baseball, basketball, soccer, softball, or volleyball?**

Yes. Principals, Athletic Directors and Coaches should consult the Tournament Rules related to each of these sports for more specific dates for tournament meetings and allowances for scheduling of these meetings. All games involved in the calculation of a seed position SHALL be played on or before the Wednesday prior to the start of postseason play. Games played after that time SHALL NOT factor into the seeded position.

For the 2011-2012 school year, the deadline to play seeded district games in soccer and volleyball is October 2, 2011.

For the 2011-2012 school year, the deadline to play seeded district games in basketball is February 12, 2012.

For the 2011-2012 school year, the deadline to play seeded district games in baseball and softball is May 14, 2012.

**Case TR-10- When are the boys' and girls' state basketball tournaments to be played?**

Unless facilities are unavailable, and unless further altered by the Board of Control, the boys' state basketball tournament will be played during the same week as the first round of the NCAA mens' basketball championships. The Board shall then adopt and publish corresponding dates to indicate the timing of the girls' state tournament, and all district and regional tournaments.

**Case TR-11- How are the tournament sites selected for district tournament sites for baseball, basketball, soccer, softball in the event that agreement cannot be reached on a site selection plan?**

If a site rotation agreement cannot be reached on first round (normally district) tournaments, the Commissioner will intervene and establish a rotation plan subject to approval by the Board of Control. The site selection plan shall be confirmed by annual balloting during the season and reported to the Association along with seeding decisions. Rotating the tournament sites does not necessarily mean that each school shall host the tournament at that school's home site, but that each school, with available proper and adequate facilities, shall have the opportunity to host the tournament, even if an alternative site is required due to site requirements within a given sport.

**Case TR-12- What schools may vote for basketball district tournament sites, site selection or seeding plan?**

Only member schools having a schedule of twelve games or more with at least six different member schools.

**Case TR-13- Is there a deadline for a district host school in baseball, basketball, soccer, softball or volleyball to select a playing site if the school home site doesn't meet the requirements for holding the tournament?**

Yes. Through various rules and rulings in past years, every school in a specific district alignment shall have an opportunity to serve as the host for the tournament. Each school may, of course, decline that opportunity. However, this requirement ensures that on a periodic basis, all schools get to share in the concession and parking proceeds, host share of the ticket receipts and other benefits that come from serving as the host. It is recognized that all schools in a given alignment will not meet the tournament specifications.

For this reason, the following steps shall be taken by the schools in the district-

- 1) The schools shall meet and decide what facilities can hold the attendance of the last five years of the tournament based on the fan followings of the schools, and satisfy other logistical needs such as accessibility, parking, etc. (agreed sites). If there is a disagreement, the Board of Control can serve as the final resolution and KHSAA staff shall assist in that determination.
- 2) The discussion on site applicability is separate from the ability to "host", that is being the tournament manager, operating concessions, etc. as all schools shall be given that opportunity to host, just not necessarily at a site that cannot accommodate

the event.

3) From that list of agreed sites, the rotation of sites is to be considered, factoring in of course, that all schools shall be given a chance (if they want) to host, even if it isn't played at the host school's home playing facility.

4) In a year when the designated host's home facility does not meet the specifications for holding the tournament, the final selection as to the playing site rests with the designated host school. That school has sole discretion to make that decision, but is compelled to choose only from the list of agreed facilities as mentioned in (1) above.

5) In a year when the designated host school's home facility does not meet the specifications for holding the tournament, the designation shall be made by September 20 in volleyball and soccer; January 1 in basketball; and April 15 for baseball and softball.

**Case TR-14- When is the standard meeting to be held for the district tournament in baseball, basketball, soccer, softball and volleyball?**

Not later than the second Sunday prior to the published week of the district tournament in all sports where a tournament meeting is specified (baseball, basketball, soccer, softball and volleyball). The meeting may be held earlier if the participating teams agree, but not prior to the final announcement of sites.

**Case TR-15- May teams withdraw from a baseball, basketball, soccer, softball or volleyball tournament after the drawings have been made?**

No. The penalty for such a withdrawal is withdrawal from postseason competition for two years and could also result in suspension from the Association for a period of twelve months.

**Case TR-16- May a name be added to the roster or entry form after the deadline for submission or editing?**

No, changes cannot be made unless otherwise provided in the tournament rules for a specific sport. The principal shall check the online roster in baseball, basketball, football, soccer, softball and volleyball to ensure that all players are on the list as the rosters are locked after postseason play begins. For individual sports using the published entry list and/or forms, the specific sport tournament rules will address any options for substitution or correction, if such options exist.

**Case TR-17- How many names can appear on the roster for baseball, basketball, football, soccer, softball and volleyball?**

Schools may enter an unlimited roster using the online roster system for baseball, basketball, football, soccer, softball and volleyball. The coach, Principal or designated representative can then designate the required number of contestants for each event from that list.

**Case TR-18- How is it determined that a meet counts against the four meet minimum in Track and Field or Cross Country?**

In order to count as a meet for the four meet minimum a meet shall be a meet which rises to the standard of counting as a meet towards the interpretations of Bylaw 25. In addition, in Cross Country, the distance would be a minimum of 2500 meters and a maximum of 5000 meters and involved entries from two or more schools. To count towards the minimums, the meets may be at the Varsity or non Varsity level in terms of meet structure. It shall be counted in the same manner for all competitors (varsity, JV or freshman). The school approved or provided uniform shall be worn in this competition. In accordance with Bylaw 20, a school representative shall accompany the team.

**Case TR-19- If a Cross Country Meet is interrupted by weather, can other races be run that day?**

Yes. The particular interrupted race is considered complete and counts both towards meet limits and participation minimums. If other competition can resume after required delays, that is permissible. Only races actually started can count towards meet limits or participation minimums.